



6TH JUDICIAL DISTRICT • KNOX COUNTY, TENNESSEE

CHARME P. ALLEN
OFFICE of the DISTRICT ATTORNEY GENERAL

P.O. BOX 1468 • KNOXVILLE, TN 37901-1468

PHONE 865.215.2515 • FAX 865.215.4253

MEMORANDUM

**TO: PETITIONERS SEEKING EXPUNGEMENT OF CONVICTION(S)
AND/OR ATTORNEYS SEEKING TO FILE A PETITION**

FROM: DISTRICT ATTORNEY GENERAL CHARME P. ALLEN

RE: EXPUNGEMENT OF CONVICTION(S) PACKET

DATE: JULY 1, 2021

Pursuant to T.C.A. § 40-32-101(g), certain convictions are eligible for Expungement. If you require legal advice, please contact a licensed attorney as the District Attorney General's Office does not represent you and is prohibited from providing you with legal advice.

If your conviction occurred within Knox County, Tennessee, please carefully follow the instructions in this packet for your initial request to be evaluated and processed by the Knox County District Attorney General's Office.

If you are an attorney filing the Petition on your client's behalf, please complete all the information requested in this packet, attach all supporting documentation, and we recommend that you contact the DA's Office prior to filing your Petition.

EXPUNGEMENT SCREENING

If you would like assistance with the expungement process, an Assistant District Attorney General, along with a General Sessions/Criminal Court Clerk, will be available to assist *pro se* defendants and private attorneys regarding the application for Expungement of eligible criminal cases during the following times:

**Mondays & Thursdays
3:00 p.m. – 4:15 p.m.
4th Sessions Courtroom
Main Floor of the City-County Building**

INSTRUCTIONS FOR FILING EXPUNGEMENT OF CONVICTION(S)
PURSUANT TO T.C.A. § 40-32-101(g) or T.C.A. § 40-32-101(k)

1. Review the attached lists of offenses and criteria (Felony Inclusion, Misdemeanor Exclusion, or Pre-November 1, 1989 Criteria) to determine if your conviction can be expunged.

2. Complete the following information (please print):

Full Name on Conviction(s): _____

Other Names (aliases): _____

Current Address: _____

List the Cities and States Where You Have Lived: _____

Date of Birth: _____

Social Security Number: _____

Phone Number (include area code): _____

Email Address: _____

3. Initial each box or indicate not applicable (N/A):

- The conviction is for a Misdemeanor that is NOT listed on the exclusion list attached.
- The conviction is for either a Class C, D, or E Felony listed on the inclusion lists attached.
- The conviction occurred prior to November 1, 1989 and meets all the listed criteria.
- You must have no other convictions in this or any other jurisdiction other than the one(s) to be expunged; however, any moving or non-moving traffic offense shall not be considered a criminal offense as used in T.C.A. § 40-32-101(g)(2)(A).

Note: if you were convicted of three (3) or more crimes arising from separate criminal events in any city, county, or state, then you cannot have *any convictions in Tennessee expunged. *Statute makes an exception for Class E Felony Simple Possession 3rd Offense. *If you are a victim of human trafficking, then you may be eligible to have multiple, nonviolent convictions for offenses that resulted from your status as a victim of human trafficking expunged if the expunction is in the best interest of justice and public safety and other requirements are met. Please speak with the District Attorney General for further information.

You must have completed all terms of imprisonment, probation, or parole. If the sentence included probation or parole, the sentence was completed at the end of the probationary period. At the time of the filing of the petition for expunction at least:

- (i) Five (5) years have elapsed since the completion of the sentence imposed for a misdemeanor or Class E felony; or
- (ii) Ten (10) years have elapsed since the completion of the sentence imposed for a Class C or D felony.

If petitioning for Expungement of two (2) convictions from separate incidents pursuant to TCA § 40-32-101(k), the offenses must be two (2) eligible misdemeanors or one (1) eligible felony and one (1) eligible misdemeanor. At least five (5) or ten (10) years (depending upon the Class of the charge) must have elapsed since the completion of the sentence imposed for the most recent offense.

If you are seeking the Expungement of convictions for more than one (1) of the offenses listed in subdivision (g)(1), the conduct upon which each conviction is based must have occurred contemporaneously, at the same location, representing a single continuous criminal episode with a single criminal intent and all such convictions must be eligible for expunction under subdivision (g)(1). **This subsection does not apply to those petitioning for Expungement of two (2) convictions from separate incidents pursuant to TCA § 40-32-101(k).**

If so required by the conditions of the sentence imposed, you must have remained free from dependency on or abuse of alcohol or a controlled substance or other prohibited substance for a period of not less than one (1) year.

DOCUMENTS REQUIRED:

You must attach a copy of the record of the conviction to be expunged. *(This copy may be obtained from either the Criminal Court Clerk or the General Sessions Court Clerk, depending on which Court entered the conviction.)*

You must attach proof showing that you have paid all fines, restitution, court costs, and other assessments. *(This statement may be obtained from either the Criminal Court Clerk or the General Sessions Court Clerk, depending on which Court entered the conviction.)*

You must attach proof showing you have met all conditions of supervised or unsupervised release, such as parole, probation, or community service, as evidenced by an official statement from the agency responsible for supervising your release, such as the State parole office, the State or County probation or community service agency.

You must attach a copy of photo identification or government-issued ID.

You must submit a self-addressed stamped business or legal-size envelope with your Petition

so that the Clerk can mail you a certified copy of your Expungement Order if the Judge grants your Petition. You should keep this certified copy forever as proof that your case was expunged.

4. Return your completed and signed forms with required supporting documentation to the Knox County District Attorney General’s Office. A **NON-REFUNDABLE** filing fee of \$100 payable to the Knox County Criminal Court Clerk’s Office is required. Please contact the Clerk at 865.215.2375 to make payment arrangements – **DO NOT SUBMIT PAYMENTS TO THE DA’S OFFICE.**

5. The DA’s Office will review your request and, if initially eligible, assist you in preparing and filing a Petition and proposed Order for Expungement. Please note that in some instances the District Attorney General may stand in opposition to the granting of the Petition and/or the Court may not grant your Petition for Expungement.

6. File your Petition, proposed Order for Expungement, and packet of information at the appropriate Court Clerk’s Office. The Clerk’s Office or District Attorney General will provide you with further instructions.

By signing below, you certify that you have fully read and truthfully completed this packet. You understand and acknowledge that the District Attorney is not your attorney and is not providing legal advice. You understand that the District Attorney is performing an administrative function pursuant to TCA § 40-32-101(g) by assisting in the completion of the Petition to Expunge and the Order of Expungement. You understand that the District Attorney has advised you that you may seek independent legal counsel to assist you with the Expungement process. You further understand that the Order granting Expungement does not reinstate your voting rights or other citizenship rights and that further action may be required to have any of those rights restored. You understand that the filing of the Petition for Expungement does not guarantee that the Petition will be granted by the Court. You further understand that statements made in the Petition for Expungement are made under penalty of perjury in connection with an official proceeding.

*If you are an attorney filing the Petition on your client’s behalf, please ensure that all information above is filled out/documents attached and sign with your client’s permission and date below.

Petitioner’s or Attorney’s Signature

Date

FELONY INCLUSION LISTS

A Class E Felony committed on or after November 1, 1989, may be expunged if it appears in the below list. (If the conviction is for a Class E Felony committed after November 1, 1989, and is not on this list, it cannot be expunged).

- 39-11-411 Accessory after the fact;
- 39-13-306 Custodial interference where person not voluntarily returned by defendant;
- 39-13-604(c)(2) Knowing dissemination of illegally recorded cellular communication;
- 39-14-105(2) Theft (\$501-\$999);
- 39-14-114(c) Forgery (up to \$1,000);
- 39-14-115 Criminal simulation (up to \$1,000);
- 39-14-116(c) Hindering secured creditors;
- 39-14-117(b) Fraud in insolvency;
- 39-14-118 Fraudulent use of credit card or debit card (\$501-\$999);
- 39-14-121 Worthless checks (\$501-\$999);
- 39-14-130 Destruction of valuable papers (\$501-\$999);
- 39-14-131 Destruction or concealment of will;
- 39-14-133 Fraudulent or false insurance claim (\$501-\$999);
- 39-14-137(b) Fraudulent qualifying for set aside programs (\$501-\$999);
- 39-14-138 Theft of trade secrets (\$501-\$999);
- 39-14-139 Sale of recorded live performances without consent (\$501-\$999);
- 39-14-143 Unauthorized solicitation for police, judicial or safety associations;
- 39-14-147(f) Fraudulent transfer of motor vehicle with value of less than \$20,000;
- 39-14-149 Communication theft (\$501-\$999 (fine only);
- 39-14-152 Use of a counterfeit mark or logo;
- 39-14-153 False information in applying for housing project accommodations;
- 39-14-402 Burglary of an auto;
- 39-14-408 Vandalism (\$501-\$999);
- 39-14-411 Utility service interruption or property damage;
- 39-14-505 Aggravated criminal littering (2nd and 3rd offenses involving certain weight or volume);
- 39-14-602 Violation of Tennessee Personal and Commercial Computer Act (\$501-\$999);
- 39-14-603 Unsolicited bulk electronic mail (\$500- \$999);
- 39-14-903 Money laundering offenses;
- 39-16-201 Taking telecommunication device into penal institution;
- 39-16-302 Impersonation of licensed professional;
- 39-16-603 Evading arrest in motor vehicle where no risk to bystanders;
- 39-16-609(e) Failure to appear (felony);
- 39-17-106 Gifts of adulterated candy or food;
- 39-17-417(f) Manufacture, delivery, sale or possession of Schedule V drug (fine not greater than \$5,000);
- 39-17-417(g)(1) Manufacture, delivery, sale or possession of not less than ½ ounce and not more than 10 pounds of Schedule VI; drug marijuana (fine not greater than \$2,500);
- 39-17-417(h) Manufacture, delivery, sale or possession of Schedule VII drug (fine not greater than \$1,000);
- 39-17-418(e) Simple possession or casual exchange (3rd offense);
- 39-17-422(c) Selling glue for unlawful purpose;
- 39-17-423(c) Counterfeit controlled substance; and
- 39-17-425(b)(1), (2), (3) Unlawful drug paraphernalia uses and activities.

A Class D Felony committed on or after November 1, 1989, may be expunged if it appears in the below list. (If the conviction is for a Class D Felony committed after November 1, 1989, and is not on this list, it cannot be expunged).

- 39-14-103 Theft of property;
- 39-14-104 Theft of services;
- 39-14-112 Extortion;
- 39-14-114 Forgery;
- 39-14-115 Criminal simulation;
- 39-14-118 Illegal possession or fraudulent use of a credit card or debit card;
- 39-14-121 Worthless checks;
- 39-14-130 Destruction of valuable papers;
- 39-14-133 False or fraudulent insurance claims;
- 39-14-137 Fraudulent qualifying for set-aside programs;
- 39-14-138 Theft of trade secrets;
- 39-14-139 Sale of recorded live performances without consent;
- 39-14-147 Fraudulent transfer of motor vehicle valued at twenty thousand (\$20,000) or more;
- 39-14-149 Communication theft;
- 39-14-150(b) Identity theft;
- 39-14-152 Use of a counterfeit mark or logo;
- 39-14-154 Home improvement fraud;
- 39-14-402 Burglary-other than habitation or automobile;
- 39-14-408 Vandalism;
- 39-14-502(a)(1) or (a)(2) False report to law enforcement not involving bomb, fire, or emergency;
- 39-14-602(a)-(c) Violation of Tennessee Personal and Commercial Computer Act;
- 39-14-603 Unsolicited bulk electronic mail;
- 39-17-417(d) Manufacture, deliver, sale, or possession of Schedule III drug (fine not greater than fifty thousand dollars (\$50,000));
- 39-17-417(e) Manufacture, deliver, sale, or possession of Schedule IV drug (fine not greater than fifty thousand dollars (\$50,000));
- 39-17-417(g)(2) Manufacture, deliver, sale, or possession of Schedule VI drug (fine not greater than fifty thousand dollars (\$50,000));
- 39-17-430 Prescribing or selling steroid for unlawful purpose;
- 39-17-433 Promoting manufacture of methamphetamine;
- 39-17-438 Produce, manufacture, delivery, sale, or possession of hallucinogenic plant *Salvia Divinorum* or the synthetic cannabinoids (first violation);
- 39-17-454(c) Manufacture, deliver, dispense, sell, or possess with intent to manufacture, deliver, dispense, or sell a controlled substance analogue (first violation);
- 39-17-607(a) Making counterfeit or altering lottery ticket (fine not greater than fifty thousand dollars (\$50,000));
- 39-17-608 Making material false statement on lottery application or record;
- 39-17-654(c) Unauthorized person conducting charitable gaming event; and
- 53-11-402(a)(3) Drug fraud.

A Class C Felony committed on or after November 1, 1989, may be expunged if it appears in the below list. (If the conviction is for a Class C Felony committed after November 1, 1989, and is not on this list, it cannot be expunged).

- 39-14-103 Theft of property;
- 39-14-104 Theft of services;
- 39-14-114 Forgery;
- 39-14-115 Criminal simulation;
- 39-14-118 Illegal possession or fraudulent use of a credit card or debit card;
- 39-14-121 Worthless checks;
- 39-14-130 Destruction of valuable papers;
- 39-14-133 False or fraudulent insurance claims;
- 39-14-137 Fraudulent qualifying for set-aside programs;
- 39-14-138 Theft of trade secrets;
- 39-14-139 Sale of recorded live performances without consent;
- 39-14-149 Communication theft;
- 39-14-150(c) Identity theft trafficking;
- 39-14-152 Use of a counterfeit mark or logo;
- 39-14-154 Home improvement fraud;
- 39-14-408 Vandalism;
- 39-14-602(a)-(c) Violation of Tennessee Personal and Commercial Computer Act;
- 39-14-603 Unsolicited bulk electronic mail;
- 39-14-804 Theft of animal from or damage to an animal facility;
- 39-17-417(c) Manufacture, deliver, sale, or possession of Schedule II drug, including cocaine or methamphetamine in an amount less than point five (0.5) grams (fine not greater than fifty thousand dollars (\$50,000));
- 39-17-417(e) Manufacture, deliver, sale, or possession of Schedule IV drug (fine not greater than fifty thousand dollars (\$50,000));
- 39-17-417(g)(2) Manufacture, delivery, sale, or possession of Schedule VI drug (fine not greater than one hundred thousand dollars (\$100,000));
- 39-17-454(c) Manufacture, delivery, dispense, or sale or possession with intent to manufacture, deliver, dispense or sale of a controlled substance analogue (second or subsequent violation); and
- 39-17-607(b) Influencing or attempting to influence lottery.

A person is not an eligible petitioner if the person was convicted of an offense involving the manufacture, delivery, sale, or possession of a controlled substance and at the time of the offense the person held:

- (A) A commercial driver license, as defined in 55-50-102, and the offense was committed within a motor vehicle, as defined in 55-50-102; or**
- (B) Any driver license and the offense was committed within a commercial motor vehicle, as defined by 55-50-102.**

MISDEMEANOR EXCLUSION LIST

If the conviction is for a Misdemeanor committed after November 1, 1989, and is on this list, then that conviction cannot be expunged.

- 39-13-101(a)(1) and (2) Assault **if the offense was committed prior to July 1, 2000;**
- 39-13-101(a)(3) Assault (offensive or provocative physical contact);
- 39-13-102 Aggravated assault of public employee;
- 39-13-111 Domestic assault;
- 39-13-113(g) Violation of protective or restraining order;
- 39-13-113(h) Possession of firearm while order of protection in effect;
- 39-13-511(a) Public indecency — first or second offense (punishable by \$500 fine only);
- 39-13-511 Public indecency 3rd or subsequent offense;
- 39-13-511 Indecent exposure (victim under 13 years of age) or by person in penal institution exposing to a guard;
- 39-13-511(b)(2) Indecent exposure (victim 13 years old or older);
- 39-13-514(b)(3)(A) Patronizing prostitution from a person who is younger than eighteen (18) years of age or has an intellectual disability **(this is an ineligible felony inadvertently listed here by the legislature);**
- 39-13-526(b)(1)(2) Violation of community supervision by sex offender not constituting offense or constituting misdemeanor;
- 39-13-528 Soliciting minor to engage in Class E sexual offense;
- 39-13-533 Unlawful sexual contact by authority figure;
- 39-14-304 Reckless burning;
- 39-14-406 Aggravated criminal trespass of a habitation, hospital, or on the campus of any public or private school, or on railroad property;
- 39-15-201(b)(3) Coercion — abortion;
- 39-15-210 Third or subsequent violation of “Child Rape Protection Act of 2006”;
- 39-15-401(a) Child abuse (where child is between ages 7- 17);
- 39-15-401(b) Child neglect and endangerment (where child is between ages 7-13);
- 39-15-404 Enticing a child to purchase intoxicating liquor — purchasing alcoholic beverage for child;
- 39-15-404 Allow person 18-21 to consume alcohol on person’s premises;
- 39-15-412(b) Disseminating smoking paraphernalia to minor after 3 prior violations;
- 39-15-414 Harboring or hiding a runaway child;
- 39-16-404 Misuse of official information by public servant;
- 39-17-315 Stalking;
- 39-17-317 Disorderly conduct at funerals;
- 39-17-431 Unlawful dispensing of immediate methamphetamine precursor, sale of meth precursor to person on methamphetamine registry or purchase by someone on registry, possess meth precursor with intent to sell to another for unlawful use, purchase meth precursor for another for unlawful use, purchase meth precursor at different times and places to circumvent limits, and use false ID to purchase meth precursor for purpose of circumventing limits;
- 39-17-437 Using substance or device to falsify drug test results and selling synthetic urine;
- 39-17-438 Possession of the hallucinogenic plant Salvia Divinorum or the synthetic cannabinoids;
- 39-17-452 Sale or possession of synthetic derivatives or analogues of methcathinone;
- 39-17-715 Possession of or consuming alcoholic beverages on K-12 school premises;
- 39-17-902(a) Importing, preparing, distributing, processing, or appearing in obscene material or exhibition – Distribution to or employment of minors;

39-17-907 Unlawful exhibition of obscene material;
39-17-911 Sale or loan to minors of harmful materials;
39-17-914 Display for sale or rental of material harmful to minors;
39-17-918 Unlawful massage or exposure of erogenous areas;
39-17-1307(f)(1)(A) Possession of firearm after being convicted of misdemeanor crime of domestic violence;
39-17-1307(f)(1)(B) Possession of firearm while order of protection is in effect;
39-17-1307(f)(1)(C) Possession of firearm while prohibited by state or federal law;
39-17-1312 Failure of adult to report juvenile carrying gun in school;
39-17-1320(a) Nonparent providing handgun to a juvenile;
39-17-1352 Failure to surrender handgun carry permit upon suspension;
39-17-1363 Violent felon owning or possessing vicious dog; and
55-10-401 Driving under the influence of an intoxicant.

OFFENSE COMMITTED BEFORE NOVEMBER 1, 1989

If the conviction is for an offense committed before November 1, 1989, all the below criteria must be met:

1. The sentence was either a determinate sentence, of three (3) years or less OR sentenced to an indeterminate sentence for which the person served three (3) years or less;
2. The convicted person never had a previous conviction expunged as the result of the successful completion of a diversion program pursuant to §§ 40-15-102—40-15-106 or § 40-35-313;
3. The convicted offense did not have as an element the use, attempted use, or threatened use of physical force against the person of another;
4. The convicted offense did not involve, by its nature, a substantial risk that physical force against the person of another would be used in the course of committing the offense;
5. The convicted offense did not involve the use or possession of a deadly weapon;
6. The convicted offense was not a sex offense for which the offender is required to register as a sexual offender or violent sexual offender under title 40, chapter 39 part 2; or any sex offense involving a minor;
7. The convicted offense did not result in the death, serious bodily injury or bodily injury to a person;
8. The convicted offense did not involve the use of alcohol or drugs and a motor vehicle;
9. The convicted offense did not involve the sale or distribution of a Schedule I, II, III or IV controlled substance;
10. The convicted offense did not involve a minor as the victim of the offense; or
11. The convicted offense did not result in causing the victim or victims to sustain a loss of fifty thousand dollars (\$50,000) or more.

3. At least five (5) or ten (10) years have elapsed since the completion of the sentence(s) imposed for the offense(s).
4. All fines, restitution, court costs, and other Court-ordered assessments have been paid.
5. All terms of imprisonment, probation, and parole have been completed.
6. All conditions of supervised or unsupervised release have been met.
7. If so required by the conditions of the sentence(s) imposed, Petitioner has remained free from dependency on or abuse of alcohol or a controlled substance or other prohibited substance for a period of not less than one (1) year.
8. Petitioner understands that the order granting Expungement does not reinstate his/her voting rights or other citizenship rights and that further action may be required to have any of those rights restored. Petitioner understands that the filing of the petition for Expungement does not guarantee that the petition will be granted by the Court.
9. Petitioner further understands that statements made in the petition for Expungement are made under penalty of perjury in connection with an official proceeding.

WHEREFORE, Petitioner prays that this Court order that all public records of this conviction (or these convictions) be expunged pursuant to T.C.A. § 40-32-101(g) or T.C.A. § 40-32-101(k).

Respectfully submitted,

PETITIONER'S SIGNATURE

PETITIONER'S MAILING ADDRESS:

4. All fines, restitution, court costs, and other Court-ordered assessments have been paid.
5. All terms of imprisonment, probation, and parole have been completed.
6. All conditions of supervised or unsupervised release have been met.
7. If so required by the conditions of the sentence(s) imposed, Petitioner has remained free from dependency on or abuse of alcohol or a controlled substance or other prohibited substance for a period of not less than one (1) year.

WHEREFORE, IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that all public records of the subject conviction(s) be removed and destroyed in accordance with T.C.A. § 40-32-101(g) or T.C.A. § 40-32-101(k). The Clerk shall send or cause to be sent a copy of the Order of Expungement to the Tennessee Bureau of Investigation for entry into its expunged offenders' database. Pursuant to T.C.A. § 40-32-101(g) or T.C.A. § 40-32-101(k) the Clerk shall keep a confidential record of Expungement that can only be used to enhance a sentence should the Petitioner be charged and convicted of another crime.

ENTER this the _____ day of _____, 20_____.

_____, JUDGE

DIVISION _____, _____ COURT,
KNOX COUNTY, TENNESSEE

APPROVED FOR ENTRY:

CHARME P. ALLEN
DISTRICT ATTORNEY GENERAL

BY: _____
Assistant District Attorney General

Attorney for Petitioner